

**U.S. District Court  
California Northern District (Oakland)  
CIVIL DOCKET FOR CASE #: 4:19-cv-06971-KAW**

Sierra Club v. United States Securities and Exchange  
Commission  
Assigned to: Magistrate Judge Kandis A. Westmore  
Cause: 05:552 Freedom of Information Act

Date Filed: 10/24/2019  
Jury Demand: None  
Nature of Suit: 895 Freedom of  
Information Act  
Jurisdiction: U.S. Government Defendant

**Plaintiff****Sierra Club**

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V.

**Defendant**

**United States Securities and Exchange  
Commission**

Date Filed	#	Docket Text
10/24/2019	<u>1</u>	COMPLAINT against United States Securities and Exchange Commission ( Filing fee \$ 400, receipt number 0971-13821583.). Filed bySierra Club. (Attachments: # <u>1</u> Exhibit A-V, # <u>2</u> Civil Cover Sheet)(Swearingen, Van) (Filed on 10/24/2019) (Entered: 10/24/2019)
10/24/2019	2	Case assigned to Magistrate Judge Kandis A. Westmore.  Counsel for plaintiff or the removing party is responsible for serving the Complaint or Notice of Removal, Summons and the assigned judge's standing orders and all other new case documents upon the opposing parties. For information, visit <i>E-Filing A New Civil Case</i> at <a href="http://cand.uscourts.gov/ecf/caseopening">http://cand.uscourts.gov/ecf/caseopening</a> .  Standing orders can be downloaded from the court's web page at <a href="http://www.cand.uscourts.gov/judges">www.cand.uscourts.gov/judges</a> . Upon receipt, the summons will be issued and returned electronically. Counsel is required to send chambers a copy of the initiating documents pursuant to L.R. 5-1(e)(7). A scheduling order will be sent by Notice of Electronic Filing (NEF) within two business days. Consent/Declination due by 11/7/2019. (ajsS, COURT STAFF) (Filed on 10/24/2019) (Entered: 10/24/2019)

10/24/2019	<u>3</u>	<b>**RE-FILED AT DOCKET NO. 4 **</b> Proposed Summons. (Swearingen, Van) (Filed on 10/24/2019) Modified on 10/24/2019 (jjbS, COURT STAFF). (Entered: 10/24/2019)
10/24/2019	<u>4</u>	Proposed Summons. (Swearingen, Van) (Filed on 10/24/2019) (Entered: 10/24/2019)
10/24/2019	<u>5</u>	Certificate of Interested Entities by Sierra Club (Swearingen, Van) (Filed on 10/24/2019) (Entered: 10/24/2019)
10/24/2019	<u>6</u>	<b>Initial Case Management Scheduling Order with ADR Deadlines: Case Management Statement due by 1/21/2020. Initial Case Management Conference set for 1/28/2020 01:30 PM. (jjbS, COURT STAFF) (Filed on 10/24/2019) (Entered: 10/24/2019)</b>
10/24/2019	<u>7</u>	Summons Issued as to United States Securities and Exchange Commission.(jjbS, COURT STAFF) (Filed on 10/24/2019) (Entered: 10/24/2019)